Receipt date: 05/12/2006

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Atty. Dkt. No. 016906-0513

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hans-Heinrich ANGERMANN

Title:

HIGH-TEMPERATURE SOLDERED EXHAUST HEAT

**EXCHANGER** 

Appl. No.:

Unassigned

Filing Date:

05/12/2006

Examiner:

Unassigned

Art Unit:

Unassigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

## RELEVANCE OF EACH DOCUMENT

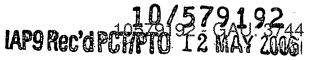
Any document listed on the attached PTO/SB/08 was cited as being relevant during a search of the corresponding International application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Documents A1 and A5 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

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Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 12, 2006

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Attorney for Applicant Registration No. 25,479

Receipt date: 05/12/2006

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute fo	or form 1449B	/PTO	IAPSHOCOPERMIC 12 MAY 200B				
ļ	INFORMATI	ON DISCLO	SURE	Application Number				
	STATEMEN	T BY APPLI	ICANT	Filing Date	05/12/2006			
	Data Cubmit	dadi May 12	2006	First Named Inventor	Hans-Heinrich ANGERMANN			
	Date Submit	ted: May 12	, 2006	Group Art Unit	Unassigned			
	(use as many s	sheets as ne	ecessary)	Examiner Name	Unassigned			
Sheet	1	of	1	Attorney Docket Number	016906-0513			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	A1	2003/0010480	A1	SHIBAGAKI ET AL.	01-16-2003		
	A2	5,172,849		BARTEN ET AL.	12-22-1992		
		,					

				F	OREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Foreign Patent Document				Date of Publication of Cited Document	Pages, Columns, Lines, Where	
		Office	<sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear	т <sup>6</sup>
	A3	EP	1 094 291	A2	EBARA CORPORATION	04-25-2001		
	A4	EP	1 153 690	A1	DENSO CORPORATION	11-14-2001		
	A5	DE	102 31 973	A1	DENSO CORP.	02-06-2003		Abst.
·	A6	JP	2000-171178	Ą	USUI INTERNATIONAL IND. CO., LTD.	06-23-2000		Abst.
	A7	JP	2002-295991	Α	CALSONIC KANSEI CORP.	10-09-2002		Abst.

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>©</sup>				

Examiner Signature	/Tho Duong/	Date Consider	09/02/2009

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.